

BEST AVAILABLE COPY

P7X/SB 8/ (10-01)

Approved for use through 10/31/2002. OMB 0551-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing or <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	BT-001
	First Named Inventor	Tan, et al.
	COMPLETE IF KNOWN	
	Application Number	Unknown
	Filing Date	Unknown
	Art Unit	
	Examiner Name	

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Annatto Extract Compositions Including Tocotrienols and Tocopherols and Methods of Use

the specification of which

☒ is attached hereto

OR

☐ was filed on MM/DD/YYYY as United States Application Number or PCT International Application Number XX/XXX.XXX and was amended on MM/DD/YYYY (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventors or plant breeders rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent inventors or plant breeders rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign filing date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

BEST AVAILABLE COPY

Approved for use through 10/31/2002. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION -- Utility Or Design Patent Application

Direct all correspondence to <input checked="" type="checkbox"/> Customer Number or Bar Code Label 038.051 or <input type="checkbox"/> Correspondence address below			
Name			
Address			
City	State	ZIP	
Country	Telephone	Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Barrie (first and middle (if any))		Family Name Tan or Surname	
Inventor's Signature <i>Barrie Tan</i>		Date 4/7/04	
Residence: City Amherst	State MA	Country USA	Citizenship USA
Mailing Address 49 Northhampton Road			
City Amherst	State MA	ZIP 01002	Country USA
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Jose (first and middle (if any))		Family Name Llobrera or Surname	
Inventor's Signature <i>Jose Llobrera</i>		Date 4/7/04	
Residence: City Belchertown	State MA	Country USA	Citizenship Philippines
Mailing Address 48 Dana Hill Road			
City Belchertown	State MA	ZIP 01007	Country USA
<input type="checkbox"/> Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto			

BEST AVAILABLE COPY

Please type a plus sign (+) inside this box ☒

PTX/SB/91 (01-01)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	Unknown
	Filing Date	
	First Named Inventor:	Tan, et al.
	Title:	Annatto Extract Compositions ... Tocotrienols
	Group Art Unit	
	Examiner Name	
Attorney Docket Number:		BT-001

I hereby appoint:

☐ Practitioners at Customer Number

OR

☒ Practitioner named below:

Name

KIRK HAHN

Registration Number

51,763

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☒ Practitioners at Customer Number 038,051

OR

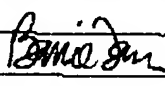
<input checked="" type="checkbox"/> Firm or Individual Name	Kirk Hahn			
Address	14431 Holt Avenue			
Address				
City	Santa Ana	State	California	Zip 92705
Country	United States of America			
Telephone	714-544-2934	Fax	714-544-2934	

I am the:

☒ Applicant/Inventor

☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Barrie Tan
Signature	
Date	4/7/04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of 1 are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

BEST AVAILABLE COPY

Please type a plus sign (+) inside this box ☒

PTO/SB/R1 (02-01)

Approved for use through 10/31/2002. OMB 0631-0035

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number: Unknown
	Filing Date:
	First Named Inventor: Tan, et al.
	Title: Annatto Extract Compositions ... Tocotrienols
	Group Art Unit
	Examiner Name
	Attorney Docket Number: BT-001

I hereby appoint:

☐ Practitioners at Customer Number
OR
☒ Practitioner named below:

Name KIRK HAHN	Registration Number 51,763
--------------------------	--------------------------------------

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

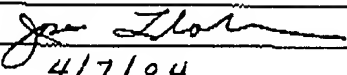
☐ The above-mentioned Customer Number.
OR
☒ Practitioners at Customer Number **038,051**
OR

<input checked="" type="checkbox"/> Firm or Individual Name	Kirk Hahn				
Address	14431 Holt Avenue				
Address					
City	Santa Ana	State	California	Zip	92705
Country	United States of America				
Telephone	714-544-2934	Fax	714-544-2934		

I am the:

☒ Applicant/Inventor
☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Jose Llobrera
Signature	
Date	4/7/04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of 1 are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231